



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Short, et al.

Art Unit : Unknown

Serial No.: 10/601,319

Examiner: Unknown

: June 20, 2003 Filed

Title : RECOMBINANT PHYTASES AND METHODS OF MAKING AND USING

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97 and §1.98

Sir:

Enclosed for filing in the above-referenced patent application is a Form PTO-1449, being submitted prior to the first Office Action on the merits. Applicants respectfully request consideration of the remarks and references set forth herein.

The following documents are enclosed herewith:

- Form PTO-1449 newly filed, citing references from the parent application
- Postcard

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney's Docket No.: 09010-029011

Applicant : Short, et al.
Serial No. : 10/601,319
Filed : June 20, 2003
Page : 2 of 3

REMARKS

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Under 35 USC 120, this application relies on the earlier filing date of application serial number 09/866,379 filed on May 24, 2001. Attached please find Form 1449 newly filed listing the references cited by the Application for the parent case.

A copy of this statement is being submitted because Applicants wish the information to appear among the "references cited" on any patent to issue therefrom (MPEP§609). In accordance with 37 CFR §1.98(d), copies of the references cited in the parent application are not included in this submission (MPEP §609A(2)); however, for the Examiner's convenience, if requested, Applicants will be pleased to provide a copy of any or all of the references cited therein.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 06-1050. If necessary, please deduct any necessary additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Attorney's Docket No.: 09010-029011

Applicant: Short, et al. Serial No.: 10/601,319 Filed: June 20, 2003

Page : 3 of 3

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 858 678 5070.

Respectfully submitted,

Gregory P. Einhorn Reg. No. 38,440

-/

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Substitue Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. Application No. 09010-029011 10/601,319

Information Disclosure Statement by Applicant (Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant Short, et al. Filing Date Group Art Unit June 20, 2003 Unknown

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,366,736	Nov 22, 1994	Edwards, Jr.			
	AB	5,436,156	July 25, 1995	Van Gorcom et al.			
	AC	5,593,963	Jan 14, 1997	Van Ooijen et al.			
	AD	5,830,696	Nov 3, 1998	Short			
	AE	5,939,303	Aug 17, 1999	Cheng, et al.			
	AF	6,190,897	Feb 20, 2001	Kretz			
	AG	5,284,933	Feb 8, 1994	Dobeli et al.			
•	AH						
	AI						
	AJ						
	AK						

Examiner	Foreig Desig.	n Patent Doc Document	Publication	nts or Published Foreign lication Country or	Patent A	Application	ns Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL	WO 99/08539	Feb 25, 1999	PCT				
	AM	0897985A2	Feb 24, 1999	EP				
	AN	0282042B1	June 8, 1994	EP				
	AO							
-	AP							

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID.	Document		
	AQ	Henrik Brinch-Pedersen, Annette Olesen, Soren K. Rasmussen & Preben B. Holm, "Generation of Transgenic Wheat (<i>Triticum aestivum</i> L.) for Constitutive Accumulation of an <i>Aspergillus</i> Phytase", 2000, Molecular Breeding, pp. 195-206		
	AR	Janie Dassa, Christian Marck, and Paul L. Boquet, "The Complete Nucleotide Sequence of the Escherichia coli Gene appA Reveals Significant Homology between pH 2.5 Acid Phosphatase (Bucose-1-Phosphatase', September, 1990, Journal of Bacteriology, Vol 172, No. 9, pp. 5497-550		
	AS	J. Dvorakova, "Phytase: Sources, Preparation and Exploitation", 1998, Folia Microbiol, Vol. 43(4), pp. 323-338		

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

(Modified)

(37 CFR §1.98(b))

Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. Application No. 09010-029011 10/601,319 Applicant

Inf rmation Disclosure Statement by Applicant (Use several sheets if necessary)

Short, et al. Filing Date Group Art Unit June 20, 2003 Unknown

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
	AT	Lori Giver, Anne Gershenson, Per-Ola Freskgard, and Frances H. Arnold, "Directed Evolution of a Thermostable Esterase", October, 1998, National Academy of Sciences, Vol. 95, pp. 12809-12813
		R. Greiner, U. Konietzny, and KlD. Jany, "Purification and Characterization of Two Phytases
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		Test Case", 2001, Journal of Biotechnology Vol. 85, pp. 15-24
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		Utilization", July, 1993, Bio/Technology Vol. 11, pp. 79-82
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-	AFF	Markus Wyss, Roland Brugger, Alexandra Kronenberger, Roland Remy, Rachel Fimbel, Gottfried
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	AHH	Altschul, et al., "Basic Local Alignment Search Tool", J. Mol. Bio., Vol. 215, pp. 403-410, 1990

Examiner Signature

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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 Attorney's Docket No. Application No. U.S. Department of Commerce Patent and Trademark Office 09010-029011 10/601,319 Applicant Information Disclosure Statement Short, et al. by Applicant (Use several sheets if necessary) Filing Date Group Art Unit June 20, 2003 Unknown (37 CFR §1.98(b))

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document		
	AII	Database accession No. AR130956		
	AJJ	Database accession No. AAX26540		
	AKK	Database accession No. P07102		
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	ATT			

Examiner Signature Date Consider	ad .
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